

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

VAUGHN R. WEED,)	
)	No. CV-10-0300-CI
Plaintiff,)	
)	ORDER DENYING PLAINTIFF'S
v.)	MOTION FOR SUMMARY JUDGMENT
)	AND GRANTING DEFENDANT'S
MICHAEL J. ASTRUE, Commissioner)	MOTION FOR SUMMARY JUDGMENT
of Social Security,)	
)	
Defendant.)	

BEFORE THE COURT are cross-Motions for Summary Judgment. (ECF No. 17, 20.) Attorney Maureen J. Rosette represents Vaughn R. Weed (Plaintiff); Special Assistant United States Attorney Jeffrey R. McClain represents the Commissioner of Social Security (Defendant). The parties have consented to proceed before a magistrate judge. (ECF No. 7.) After reviewing the administrative record and briefs filed by the parties, the court **DENIES** Plaintiff's Motion for Summary Judgment, and directs entry of judgment for Defendant.

JURISDICTION

Plaintiff protectively filed for Supplemental Security Income (SSI) on February 12, 2009. (Tr. 11.) He alleged disability due to arthritis in knees and elbows, with an onset date of January 1, 2008. (Tr. 142.) Benefits were denied initially and on reconsideration. Plaintiff timely requested a hearing before an administrative law judge (ALJ), which was held before ALJ Gene

1 Duncan on March 3, 2010. (Tr. 23-74.) Plaintiff, who was
2 represented by counsel, medical expert Donna M. Veraldi, Ph.D., and
3 vocational expert Frank Lucas (VE) testified. The ALJ denied
4 benefits on May 28, 2010, and the Appeals Council denied review.
5 (Tr. 1-3, 11-19.) The instant matter is before this court pursuant
6 to 42 U.S.C. § 405(g).

7 STANDARD OF REVIEW

8 In *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001), the
9 court set out the standard of review:

10 A district court's order upholding the Commissioner's
11 denial of benefits is reviewed *de novo*. *Harman v. Apfel*,
12 211 F.3d 1172, 1174 (9th Cir. 2000). The decision of the
13 Commissioner may be reversed only if it is not supported
14 by substantial evidence or if it is based on legal error.
15 *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999).
16 Substantial evidence is defined as being more than a mere
scintilla, but less than a preponderance. *Id.* at 1098.
Put another way, substantial evidence is such relevant
evidence as a reasonable mind might accept as adequate to
support a conclusion. *Richardson v. Perales*, 402 U.S.
389, 401 (1971).

17 It is the role of the trier of fact, not this court, to resolve
18 conflicts in evidence. *Richardson*, 402 U.S. at 400. If evidence
19 supports more than one rational interpretation, the court may not
20 substitute its judgment for that of the Commissioner. *Tackett*, 180
21 F.3d at 1097; *Morgan v. Commissioner of Social Sec. Admin.*, 169
22 F.3d 595, 599 (9th Cir. 1999); *Allen v. Heckler*, 749 F.2d 577, 579
23 (9th Cir. 1984). Nevertheless, a decision supported by substantial
24 evidence will still be set aside if the proper legal standards were
25 not applied in weighing the evidence and making the decision.
26 *Browner v. Secretary of Health and Human Services*, 839 F.2d 432, 433
27 (9th Cir. 1988). If there is substantial evidence to support the
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1 administrative findings, or if there is conflicting evidence that
2 will support a finding of either disability or non-disability, the
3 finding of the Commissioner is conclusive. *Sprague v. Bowen*, 812
4 F.2d 1226, 1229-1230 (9th Cir. 1987).

5 SEQUENTIAL EVALUATION

6 Also in *Edlund*, 253 F.3d at 1156-1157, the court set out the
7 requirements necessary to establish disability:

8 Under the Social Security Act, individuals who are
9 "under a disability" are eligible to receive benefits. 42
10 U.S.C. § 423(a)(1)(D). A "disability" is defined as "any
11 medically determinable physical or mental impairment"
12 which prevents one from engaging "in any substantial
13 gainful activity" and is expected to result in death or
14 last "for a continuous period of not less than 12 months."
15 42 U.S.C. § 423(d)(1)(A). Such an impairment must result
16 from "anatomical, physiological, or psychological
17 abnormalities which are demonstrable by medically
18 acceptable clinical and laboratory diagnostic techniques."
19 42 U.S.C. § 423(d)(3). The Act also provides that a
20 claimant will be eligible for benefits only if his
21 impairments "are of such severity that he is not only
22 unable to do his previous work but cannot, considering his
23 age, education and work experience, engage in any other
24 kind of substantial gainful work which exists in the
25 national economy. . . ." 42 U.S.C. § 423(d)(2)(A). Thus,
26 the definition of disability consists of both medical and
27 vocational components.

18 In evaluating whether a claimant suffers from a
19 disability, an ALJ must apply a five-step sequential
20 inquiry addressing both components of the definition,
21 until a question is answered affirmatively or negatively
22 in such a way that an ultimate determination can be made.
23 20 C.F.R. §§ 404.1520(a)-(f), 416.920(a)-(f). "The
24 claimant bears the burden of proving that [s]he is
25 disabled." *Meanel v. Apfel*, 172 F.3d 1111, 1113 (9th Cir.
26 1999). This requires the presentation of "complete and
27 detailed objective medical reports of h[is] condition from
28 licensed medical professionals." *Id.* (citing 20 C.F.R. §§
404.1512(a)-(b), 404.1513(d)).

25 The Commissioner has established a five-step sequential
26 evaluation process for determining whether a person is disabled. 20
27 C.F.R. §§ 404.1520(a), 416.920(a); see *Bowen v. Yuckert*, 482 U.S.

1 137, 140-42 (1987). In steps one through four, the burden of proof
2 rests upon the claimant to establish a prima facie case of
3 entitlement to disability benefits. *Rhinehart v. Finch*, 438 F.2d
4 920, 921 (9th Cir. 1971). This burden is met once a claimant
5 establishes that a physical or mental impairment prevents him from
6 engaging in his previous occupation. 20 C.F.R. §§ 404.1520(a),
7 416.920(a). If a claimant cannot do his past relevant work, the ALJ
8 proceeds to step five, and the burden shifts to the Commissioner to
9 show that (1) the claimant can make an adjustment to other work; and
10 (2) specific jobs exist in the national economy which claimant can
11 perform. 20 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); *Kail v.*
12 *Heckler*, 722 F.2d 1496, 1497-98 (9th Cir. 1984).

13 STATEMENT OF THE CASE

14 The facts of the case are set forth in detail in the transcript
15 of proceedings and are briefly summarized here. At the time of the
16 hearing, Plaintiff was 52 years old and living with his mother in a
17 mobile home. (Tr. 26, 49.) He testified he attended school to the
18 10th grade. (Tr. 29.) Although he reported no special education
19 classes in his application (Tr. 146), at the hearing, he testified
20 he had been placed in special education classes since first grade.
21 (Tr. 30-31.) He had past work experience as a lumber laborer and
22 food server at a casino. (Tr. 57-58, 143.) He reported he stopped
23 working in 1997 because he was incarcerated. (Tr. 142.) He
24 testified he could no longer work because his felony record
25 prevented him from finding a job. (Tr. 29.) He also testified pain
26 from arthritis in his knees and elbows made it difficult for him to
27 stand, sit, or walk for any length of time. (Tr. 30, 40-43.)

ADMINISTRATIVE DECISION

At step one, ALJ Duncan found Plaintiff had not engaged in substantial gainful activity since the SSI application date of February 12, 2009. (Tr. 13.) At step two, he found Plaintiff had the medically determinable severe impairments of "chondromalacia, bilateral knees; right elbow epicondylitis; pedophilia; and borderline intellectual functioning." (*Id.*) He found alleged diabetes caused no significant problems and was, therefore, non-severe. (*Id.*) At step three, the ALJ found Plaintiff's impairments, alone and in combination, did not meet or medically equal one of the listed impairments in 20 C.F.R., Appendix 1, Subpart P, Regulations No. 4 (Listings). (Tr. 13-14.) At step four, the ALJ determined Plaintiff had the residual functional capacity (RFC) to perform less than the full range of light work due to non-exertional physical and mental limitations. (Tr. 15.) In his step four findings, ALJ Duncan summarized the medical evidence, a statement from Plaintiff's mother, and Plaintiff's testimony, made credibility findings, and concluded Plaintiff's statements regarding the severity of his functional limitations were not credible to the extent they were inconsistent with the RFC findings. (Tr. 15-18.) Based on the RFC, Plaintiff's credible testimony, and VE testimony, the ALJ concluded Plaintiff could not perform his past relevant work as a food server. (Tr. 18.) At step five, the ALJ considered VE testimony and found Plaintiff could perform other jobs in the national economy. He concluded Plaintiff was not disabled as defined by the Social Security Act from February 12, 2009, through the date of his decision. (Tr. 18-19.)

ISSUES

The question is whether the ALJ's decision is supported by substantial evidence and free of legal error. Plaintiff argues the ALJ erred when he: (1) failed to develop the record by obtaining additional medical expert testimony; (2) found Plaintiff could perform light level work; and (3) improperly rejected examining medical source opinions. (ECF No. 14 at 9-18.) Plaintiff contends he is capable of sedentary work only and should have been found disabled under the Medical-Vocational Guidelines (Grids). (ECF No. 18, 22.)

DISCUSSION**A. Evaluation of Medical Evidence**

The record shows medical expert testimony was taken at the hearing to establish the presence of a medically determinable mental impairment and address the variances in intelligence testing scores in the record. (Tr. 34.) Donna M. Veraldi, Ph.D., testified IQ scores reported in psychological evaluations by Rachael McDougall in 2009, and Lance Harris, Ph.D., in 2005, were ambiguous and conflicting. (Tr. 34-35.) As stated by Dr. Veraldi, the diagnosis of pedophilia was not a listing impairment, and other diagnoses were not supported by objective findings. (Tr. 36.) She concluded the record before her was inconclusive as far as mental diagnoses were concerned and recommended a full neuropsychological evaluation with testing to determine if Plaintiff had cognitive deficits that would affect his ability to work. (Tr. 36-37.)

Plaintiff argues the ALJ erred by not seeking supplemental medical expert testimony after additional evidence was received. He

1 also argues the ALJ did not give specific reasons for rejecting the
2 opinions of Dr. McDougall and post-hearing examining psychologist
3 John Arnold, Ph.D. (ECF No. 18 at 18, ECF No. 22 at 2.)

4 **1. Supplemental Medical Expert Testimony**

5 A review of the hearing transcript indicates the ALJ
6 acknowledged the incompleteness of the psychological reports in the
7 record, and confirmed a supplemental examination was scheduled for
8 Plaintiff the week following the hearing. (Tr. 37-38.) Although
9 the possibility of seeking additional medical expert testimony was
10 discussed, the ALJ specifically stated he did not know if he was
11 going to have another hearing, but considered sending the report
12 with interrogatories to Dr. Veraldi. (Tr. 38.) The ALJ also
13 indicated Plaintiff's representative would receive the report and
14 could send questions directly to Dr. Veraldi. (*Id.*)

15 On March 9, 2010, Plaintiff was examined by James Bailey, Ph.D.
16 (Tr. 352-57.) In addition, Plaintiff's representative arranged
17 for a psychological evaluation by Dr. Arnold, on April 9, 2010. (Tr.
18 372-83.) The post-hearing reports were reviewed by the ALJ and
19 addressed in his May 28, 2010, decision without conducting a
20 supplemental hearing or sending interrogatories to Dr. Veraldi. (Tr.
21 17, 199.) However, the ALJ's decision to proceed in this manner is
22 not legal error.

23 An ALJ's decision to call a medical expert to assist in
24 evaluating conflicting medical evidence is within his or her
25 discretion. *Andrews v. Shalala*, 53 F.3d 1035, 1041 (9th Cir. 1995)
26 (*citing Magallanes v. Bowen*, 881 F.2d 747, 753 (9th Cir. 1989)).
27 Further because the resolution of conflicts in the medical evidence
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1 is the responsibility solely of the ALJ, an ALJ is not required to
2 substitute the judgment of a non-examining medical expert for his
3 own after review of the entire record. 20 C.F.R. § 416.927(f)(2);
4 *Sample v. Schweiker*, 694 F. 2d 639, 642 (1982). The record shows
5 ALJ Duncan sent a copy of Dr. Bailey's report to Plaintiff's
6 representative on March 31, 2010, advising her that she could submit
7 written questions or request a supplemental hearing if a request
8 were made within 10 days of the date she received the evidence and
9 notice. The notice clearly states if no request were received, the
10 evidence would be included in the record. (Tr. 199-200.) There is
11 no indication that Plaintiff's representative requested additional
12 proceedings. Therefore, Plaintiff's assertion that the ALJ ignored
13 Plaintiff's request for a supplemental hearing is without merit.
14 (ECF No. 18 at 16.)

15 Having a fully developed record supported by recent objective
16 psychological testing and narrative reports from two acceptable
17 examining medical sources, and receiving no formal request for a
18 supplemental hearing, the ALJ reasonably determined additional
19 proceedings for medical expert testimony would not be helpful. His
20 final decision reflects consideration the record in its entirety,
21 including those opinions received after the ALJ hearing. Plaintiff
22 identifies no ambiguity or insufficiency in the evidence that
23 requires remand for further development of the record. *Mayes v.*
24 *Massanari*, 276 F.3d 453, 459-60 (9th Cir. 2001) (within ALJ's
25 discretion to develop the record to resolve a conflict or clear up
26 any ambiguity in the record).

2. Rejection of Examining Medical Source Opinions.

In evaluating a disability claim, the ALJ must consider all medical evidence provided. 20 C.F.R. § 416.927(d). A treating or examining physician's opinion is given more weight than that of a non-examining physician. *Id.*; *Benecke v. Barnhart*, 379 F.3d 587, 592 (9th Cir. 2004). If a treating or examining physician's opinions are not contradicted, they can be rejected by the decision-maker only with "clear and convincing" reasons. *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1995). If contradicted, the ALJ may reject the opinion with "specific," "legitimate" reasons that are supported by substantial evidence. *See Flaten v. Secretary of Health and Human Serv.*, 44 F.3d 1453, 1463 (9th Cir. 1995). Where an ALJ determines a treating or examining physician's stated opinion is materially inconsistent with the physician's own treatment notes, legitimate grounds exist for rejecting the inconsistent, unsupported opinion. *Nguyen v. Chater*, 100 F.3d 1462, 1464 (9th Cir. 1996). The ALJ is not required to accept the opinion of a treating or examining physician if that opinion is brief, conclusory and inadequately supported by clinical findings. *Thomas v. Barnhart*, 278 F.3d 947, 957 (9th Cir. 2002).

Plaintiff argues the ALJ's reasons for rejecting the opinions of Drs. McDougall and Arnold were not sufficiently specific to meet the legal standards governing these proceedings. (ECF No. 18 at 17.) As found by the Ninth Circuit, in making findings, the ALJ "is entitled to draw inferences logically flowing from the evidence." *Tommasetti v. Astrue*, 533 F.3d 1035, 1040 (9th Cir. 2008)(quoting *Sample*, 694 F.2d at 642). If a finding is a

1 reasonable interpretation of the evidence, the court "may not engage
2 in second-guessing." *Thomas v. Barnhart*, 278 F.3d 947, 959 (9th Cir.
3 2002).

4 Although Plaintiff does not specifically identify which
5 opinions were improperly rejected, a careful review of the decision
6 shows the ALJ specifically gave little weight to "marked to severe
7 limitations in significant aspects of cognitive and social
8 functioning." (Tr. 17.) The court is thus able to review the
9 record to determine if the ALJ's reasons for doing so are legally
10 sufficient and supported by substantial evidence.

11 The ALJ gave two legitimate reasons for giving little weight to
12 the marked to severe limitations assessed by Drs. McDougall and
13 Arnold: (1) they were not consistent with the psychologists'
14 respective narrative findings and (2) they were not consistent with
15 Plaintiff's own report of daily activities. (Tr. 17, 15-16, Tr.
16 312, 382-83.) These are specific, legally sufficient reasons for
17 disregarding a medical source opinion. *Bayliss v. Barnhart*, 427
18 F.3d 1211, 1216 (9th Cir. 2005); *Nguyen*, 100 F.3d at 1464. Further,
19 the record in its entirety supports the ALJ's reasoning. For
20 example, in March 2009, Dr. McDougall's sole diagnosis (based on
21 interview) was pedophilia. (Tr. 258.) In her accompanying
22 narrative, she referenced IQ test results from Dr. Lance Harris,
23 Ph.D.¹ whose opinions were given "significant weight" by the ALJ.

24
25 ¹ Dr. Harris, who evaluated Plaintiff in 2005, noted Plaintiff
26 was fully independent in his activities of daily living, as
27 evidenced by his ability to care consistently for his mother. Based
28 on intelligence testing, he assessed a full scale IQ of 81, verbal

1 Dr. McDougall suggested Plaintiff be tested for borderline
2 intellectual function "to determine cognitive strengths and
3 limitations due to organic syndrome." (Tr. 262-63.) Consistent
4 with Dr. Harris' findings, she also observed Plaintiff was
5 independent in his daily activities. She noted Plaintiff's report
6 that he did not have problems with math in his past job at a casino,
7 served in the National Guard with an honorable discharge, did not
8 exhibit symptoms of an acute or chronic mental illness, and
9 complained primarily of medical problems. (Tr. 263.)

10 In April 2009, Dr. McDougall interpreted results from new IQ
11 testing. (Tr. 310-16.) Specifically, they reflected a full scale
12 score of 77, performance score of 84, and verbal score of 83. (Tr.
13 247, 310, 354.) In her narrative, Dr. McDougall interpreted
14 Plaintiff's verbal and nonverbal reasoning abilities in the low
15 average range and his general cognitive ability within the
16 borderline range of intellectual functioning.² (Tr. 314-15.) The ALJ
17 did not reject these narrative conclusions, which were generally

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19 score of 79, and performance scored of 86. (Tr. 247.) Dr. Harris
20 also conducted a mental status exam and opined Plaintiff could
21 "relate adequately to work peers and the general public and could
22 cope with normal work pressures and expectations." (Tr. 252.)

23 ² It is noted on review that in April, Dr. McDougall also
24 included a new diagnosis of dementia, apparently based on a self-
25 reported head injury. She opined that Plaintiff's self-report
26 "suggests lifetime cognitive deficits perhaps exacerbated by head
27 trauma." (Tr. 310.) However, as discussed below, additional testing
28 by Dr. Bailey does not support this speculation.

1 consistent with those from Dr. Harris. In March and April 2009, Dr.
2 McDougall rated listed mental disorders with "none" to "mild"
3 severity in all categories except "social withdrawal" and "physical
4 complaints." (Tr. 311.) However, the majority of cognitive and
5 social functional limitations in the check box form attached to the
6 narrative are rated "marked" or "severe," with a conclusory
7 explanation that testing shows "cognitive deficits." (Tr. 312,
8 313.) The ALJ reasonably found these functional assessments were
9 not consistent with the narrative portion of Dr. McDougall's reports
10 or Plaintiff's self-reported abilities.

11 Regarding Dr. Arnold's evaluation, the ALJ likewise found it to
12 be internally inconsistent and inconsistent with Plaintiff's own
13 report of activities. (Tr. 17.) As discussed above, these reasons
14 are sufficiently specific in the context of the entire decision, and
15 are supported by substantial evidence. Although Dr. Arnold did not
16 do formal IQ testing, he conducted an interview, a mental status
17 exam, a Personality Assessment Inventory (PAI) and administered the
18 MMPI-2 and MMPI-2RF. (Tr. 374.) Dr. Arnold's narrative report
19 indicated the following: Plaintiff reported his reading ability did
20 not prevent him from serving as an E-4 in the National Guard. He
21 reported independent living skills, including taking care of his
22 mother, doing household tasks, cooking and shopping. He reported
23 watching television for leisure. (Tr. 375.) Dr. Arnold also
24 observed Plaintiff had generally adequate concentration during the
25 assessment and responded to MSE items in a manner consistent with
26 borderline intellectual functioning. (Tr. 375.) Dr. Arnold did not
27 advise a protective payee. (*Id.*) The ALJ did not err in rejecting
28

1 the marked to severe functional limitations assessed by Dr. Arnold.
2 *Thomas*, 278 F.3d at 957.

3 Other medical evidence in the record amply supports the ALJ's
4 conclusion. For example, in March 2010, Dr. Bailey administered
5 additional intelligence testing (WAIS-IV), Trails A and B (with one
6 error), and the Minnesota Multiphasic Personality Inventory (MMPI-
7 2). (Tr. 352-56.) Intelligence scores ranged from low average
8 range to the average range of functioning. (Tr. 354.) Memory
9 scores were "a little better than IQ," with no indication of memory
10 malingering. (Tr. 355.) Dr. Bailey concluded the scores did not
11 indicate malingering, dementia, or a pattern for learning disabled.
12 (Tr. 354-55.) As noted by the ALJ, Dr. Bailey concluded Plaintiff
13 could perform simple, repetitive tasks with few academic demands,
14 with some superficial contacts. This is consistent with Dr. Harris'
15 assessment of mild to moderate limitations in most cognitive and
16 social functioning. (Tr. 17, 356, 252.) The ALJ did not err in
17 giving significant weight to the internally consistent reports based
18 on objective testing. *Bayliss*, 427 F.3d at 1216-17.

19 In conclusion, review of the psychological reports, as well a
20 Plaintiff's own testimony, indicates the ALJ's evaluation of the
21 evidence is supported by substantial evidence. Lack of internal
22 consistency and inconsistency with claimant's own testimony are
23 specific and legitimate reasons for rejecting medical source
24 findings. *Tommasetti*, 533 F.3d at 1041 (incongruity in medical
25 sources evaluation). The ALJ's findings also are sufficiently
26 specific to allow review and meet the legal standards governing
27 these proceedings; therefore, the court may not second guess his
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1 findings. *Thomas* 278 F.3d at 959.

2 **B. RFC Determination**

3 Citing *SSR* 83-10, (*Determining Capability To Do Other Work -*
4 *The Medical-Vocational Rules of Appendix 2*),³ Plaintiff argues the
5 ALJ's RFC determination that he is restricted to four hours of
6 standing or walking in an eight hour day requires a finding
7 Plaintiff is disabled according to section 201.10 of the Grids. He
8 contends the ALJ improperly disregarded VE testimony that this
9 walking/standing restriction limited Plaintiff to sedentary work.⁴
10 (ECF No. 18 at 15.)

11 The ALJ's final RFC determination (and hypothetical propounded

12 ³ *Social Security Ruling* 83-10 provides guidance to the
13 adjudicator at step five, when a claimant has met his step four
14 burden to show his impairments prevent him from performing past
15 work. Social Security Rulings are issued to clarify the
16 Commissioner's regulations and policy. They are not published in
17 the federal register and do not have the force of law. However,
18 under the case law, deference is to be given to the Commissioner's
19 interpretation of the Regulations. *Ukolov v. Barnhart*, 420 F.3d
20 1002 n.2 (9th Cir. 2005); *Bunnell v. Sullivan*, 947 F.2d 341, 346 n.3
21 (9th Cir. 1991).

22 ⁴ If Plaintiff were found to be limited to sedentary work and
23 unable to perform past work, the ALJ would be obliged to proceed to
24 step five. Using the Medical-Vocational Guidelines as a framework,
25 a finding of "disabled" would be directed for an individual of
26 Plaintiff's age and education. 20 C.F.R. Pt. 404, Subpt. P, App. 2,
27 Section 201.10.
28

1 at the hearing) is as follows:

2 [C]laimant has the residual functional capacity to perform
3 less than a full range of light work as defined in 20
4 C.F.R. 416.967(b). He can lift 10 pounds frequently and
5 20 pounds occasionally. He can stand/walk about 4 hours
6 in an 8 hour day. He can sit about 6 hours in an 8 hour
7 day. He can occasionally bend, crouch, and kneel. He
8 cannot climb ladders. He cannot crawl and he cannot
9 operate vibrating equipment. He should be able to stand
10 and stretch every 45 minutes. He should not be in charge
11 of the safety of others. He should have no contact with
12 children. He should work independently and should have a
13 fair, objective supervisor. He cannot perform repetitive
14 reaching or handling with the right upper extremity. He
15 can have superficial contact with coworkers and the
16 general public. He can perform simple repetitive task
17 [sic]. He would be off task about 5% of the workday. He
18 should not be required to perform extended reading for
19 content and comprehension. He should not have any high
20 stress work duties. He should have hands-on training for
21 changes in the work setting. He would be absent about
22 once each month. He should not make executive decisions.
23 He can work at an average, but low average, pace.

24 (Tr. 15.)(Emphasis added.)

25 Under the Regulations and SSR 83-10, light work requires

26 [L]ifting no more than 20 pounds at a time with frequent
27 lifting or carrying of objects weighting up to 10 pounds.
28 Even though the weight lifted may be very little, a job is
in this category when it requires a good deal of walking
or standing, or when it involves sitting most of the time
with some pushing and pulling of arm or leg controls. To
be considered capable of performing a full or wide range
of light work, you must have the ability to do
substantially all of these activities.

20 C.F.R. 416.967(b). (Emphasis added.)

22 "Jobs are sedentary if walking and standing are required
23 occasionally and other sedentary criteria are met." 20 C.F.R.
24 416.967(a). "Occasionally" is defined by the Commissioner as
25 "occurring from very little up to one-third of the time." SSR 83-
26 10. For example, "at the sedentary level of exertion, periods of
27 standing or walking should generally total no more than about 2

1 hours of an 8-hour workday." *Id.* The ALJ's RFC determination
2 restricts Plaintiff's standing and walking to four hours (more than
3 occasionally), or one half of the work day; therefore, it does not
4 meet the sedentary criteria. 20 C.F.R. § 416.967(a). Further,
5 Plaintiff retains the light level capacity to lift and carry 10
6 pounds frequently and 20 pounds occasionally. The ALJ did not err
7 in finding Plaintiff retains the ability to do less than a full
8 range of light work.⁵

9 The Commissioner has issued a policy ruling specifically
10 addressing this type of situation. *SSR 83-12 (Capability to Do*
11 *Other Work - - the Medical-Vocational Rules as a Framework For*
12 *Evaluating Exertional Limitations . . . Between Ranges of Work)*.
13 Where it is determined that a claimant's RFC does not permit him to
14 perform past relevant work and contains components of light and
15 sedentary work, the ALJ uses the services of a vocational expert to
16 determine if the claimant can do other jobs performed in the
17 national economy. 20 C.F.R. §§ 404.1560(b)(2)), 416.960(b)(2); see
18 also *Moore v. Apfel*, 216 F.3d 864, 870-71 (9th Cir. 2000); *SSR 83-12*

19
20 ⁵ The VE's initial testimony that Plaintiff was limited to
21 sedentary work based on the four hour standing/walking restriction
22 is not controlling. There is no requirement that an ALJ defer to
23 the judgment of an expert where, as here, the Commissioner's policy
24 rulings and the Regulations contradict the VE testimony. See
25 *Sample v. Schweiker*, 694 F. 2d 639, 642 (1982). Further, upon
26 thorough questioning by the ALJ, the VE testified there were light
27 jobs Plaintiff could perform with the four hour standing
28 restriction. (Tr. 61-69.)

1 (at step five, vocational expert testimony necessary where RFC falls
2 between two levels).

3 Here, the ALJ properly consulted the VE regarding light work
4 that could be performed with the limitations the ALJ identified in
5 the hypothetical question. The VE testified that the hypothetical
6 individual could perform several light level jobs that required no
7 more than four hours of standing. (Tr. 68.) The VE also stated his
8 testimony is consistent with DICO information, SSR 00-4p. *Bray v.*
9 *Commissioner of Social Security Admin.*, 554 F.3d 1219, 1230 n.3 (9th
10 Cir. 2009). Although Plaintiff may not agree with the VE's
11 testimony, he offers no expert testimony or documentation to rebut
12 the vocational specialist testimony, which was necessary and
13 appropriate at step five to determine whether Plaintiff could meet
14 the exertional and non-exertional demands of other work. 20 C.F.R.
15 §§ 404.1560(b)(2)), 416.960(b)(2); see also *Moore*, 216 F.3d at 870-
16 71. Even though the evidence may be susceptible to Plaintiff's
17 interpretation, the medical record, Plaintiff's daily activities and
18 credible testimony, SSR 83-12 and VE testimony support the ALJ's
19 finding that there are jobs Plaintiff can still perform. *Andrews*,
20 53 F.3d at 1039-40. Accordingly,

21 **IT IS ORDERED:**

22 1. Plaintiff's Motion for Summary Judgment (**ECF No. 17**) is
23 **DENIED;**

24 2. Defendant's Motion for Summary Judgment (**ECF No. 20**) is
25 **GRANTED.**

26 The District Court Executive is directed to file this Order and
27 provide a copy to counsel for Plaintiff and Defendant. Judgment
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1 shall be entered for Defendant, and the file shall be **CLOSED**.

2 DATED February 28, 2012.

3 S/ CYNTHIA IMBROGNO
4 UNITED STATES MAGISTRATE JUDGE
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